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Page



**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

N THE APPLICATION OF:

EDWARD G. BRUGEL ET. AL.

CASE NO.: AD6859USCIP

APPLICATION NO.: 10/698275

CONFIRMATION NO.: 1632

**GROUP ART UNIT: 1714** 

**EXAMINER:** Unknown

FILED: October 31, 2003

FOR: MANUFACTURE OF CYCLIC ESTER OLIGOMERS

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. §§1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office the information listed on the enclosed PTO/SB/08A and/or PTO/SB/08B forms. A copy of the information, if required, is also enclosed. Consideration of the information is requested under 37 C.F.R. § 1.56 and this information is submitted in accord with the provisions of §1.97(b): within three months of filing the national application under 37 C.F.R. §1.53(d), or entry into the national stage under 37 C.F.R. §1.491, or before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action on the merits after filing a Request for Continued Examination under 37 C.F.R. §1.114.

If this Information Disclosure Statement is filed under §1.97(b) but the mailing date hereof is after the mailing of a first Office Action on the merits, the PTO is requested to consider this information accord with the provisions of §1.97(c): after the period specified in §1.97(b) but before the mailing of a Final Office Action, or a Notice of Allowance, or any other action that closes prosecution; in combination with the certification under subsection (e)(1) below.

I certify that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully/submitted,

ARNE R. JARNHOLM
Attorney for Applicants
Registration No.: 30,396
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Dated: 2-14-0

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PTO/SB/08A (08-03)

AD6859USCIP

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Complete if Known Substitute for form 1449/PTO Application Number 10/698275 October 31, 2003 Filing Date INFORMATION DISCLOSURE First Named Inventor | Edward G. Brugel Et. Al. STATEMENT BY APPLICANT Art Unit 1714 (Use as many sheets as necessary) Unknown **Examiner Name** 

Attorney Docket Number

2

Sheet

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Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear	
		Number-Kind Code <sup>2 (# known)</sup>				
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	FORE	IGN PATENT DOCU	MENTS		
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		Cite Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Cite No.1 Publication Date MM-DD-YYYY  Country Code3 Number 4 Kind Code5 (if known)	No. Date Applicant of Cited Document MM-DD-YYYY  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Cite No. 1 Publication Date Applicant of Cited Document Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear

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			Application Number	10/698275		
INFORMATION DISCLOSURE			RE Filing Date	October 31, 2003		
S	<b>FATEMENT BY</b>	<b>APPLICAN</b>	First Named Inventor	Edward G. Brugel Et. Al.		
			Art Unit	1714		
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		Kondro R. et al., "Lipase-Catalyzed Selective TransformationDioxide, Macromolecular Bioscience, Wiley Vch, pages 267-271				
		Berkane C., et al., "Lipase-Catalyzed PolyesterEquilibrium", Berkane, C. et al., pages 7729-7734  Patent Abstracts of Japan., JP 2002 017385 A. (Keio Gijuku), 1/22/2002				
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